SECTION .0300 – DISCIPLINARY ACTIONS AND HEARINGS

24 NCAC 06A .0301 GROUNDS FOR DISCIPLINARY ACTIONS

(a) The Commission, the Sports Betting Committee, or Director may suspend a License, revoke a License, or assess a civil penalty for a violation committed by a Responsible Party, or by the Responsible Party's employees or agents whether or not such actors hold a License issued by the Commission. An Operator may be subject to Disciplinary Action for violations committed by other Responsible Parties acting on its behalf, for its benefit, or at its direction.

(b) The following are examples of conduct that constitute grounds for Disciplinary Action:

(1) violation of the Act or other law, including, but not limited to, failure to maintain qualifications or suitability needed for a License;
(2) violation of Rules promulgated under the Act;
(3) violation of conditions of Licensure;
(4) violation of Commission directives, orders, or resolutions;
(5) violation of Laws, Rules, regulations, or ordinances impacting public safety;
(6) any act or omission of fraud, deceit, misrepresentation, or conduct prejudicial to public confidence in the integrity of Wagering Activity;
(7) suspension or Revocation of a similar License held in another state or territory; or
(8) other grounds identified by the Commission or Director.

(c) Acceptance or renewal of a License constitutes an agreement by the Responsible Party to be bound by Commission Rules. It is the responsibility of the Responsible Party to remain self-informed of the content of and any revisions to these Rules; ignorance of the Rules does not excuse violations.

History Note: *Authority G.S. 18C-114(a)(14);*
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